

Priority	Audit Title	2015/16 Days	Position as at end August	Opinion
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2015	120		
	Accounts Payable 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	Asset Management 2014-15		Final report issued	Adequate
	Council Tax 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	NDR 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	Payroll Phase 2		Final report issued	Adequate (as Phase 1)
	Housing Rents Phase 2		Final report issued	Adequate (as Phase 1)
	Housing Benefits 14-15 Phase 2		Final report issued	Adequate (as Phase 1)
	ICT Contract Management		Fieldwork completed	
	Domiciliary Care Framework Agreement		Fieldwork completed	
	SWIFT Financials		Final report issued	Adequate
	Information Governance - Transparency Code		Report currently being drafted	
	Corporate Governance Review - Broadband		Scoping in progress	
	Application Reviews (framework i)		Fieldwork in progress	
	Customer First Information Security		Scoping agreed	
	Compliance- Assets		Deferred at request of service area	
	Integrated Asset Management System (IAMS)		Final report issued	Adequate
	School Transport		Scoping in progress	
	Direct Payments		Fieldwork in progress	
	Housing Tenancy Management		Final report issued	Adequate
	Residential Care Homes - Review opening balances on clients' funds		Fieldwork completed	
	Residential Care Homes - Payroll Due Diligence		Work finalised	n/a
	Care Homes - Accreditation Scheme for Dementia		Fieldwork in progress	
	Public Health compliance with best practice: Obesity Management		Final report issued	Adequate
	Proactive Anti- Fraud Care Homes Amenity Funds		Fieldwork completed	
	Proactive Anti- Fraud Review 14-15: Timesheets		Fieldwork in progress	
	Proactive Anti- Fraud Review 14-15: Expense Claims		Postponed to Q4 2015/16	
	Maple Tree Lower		Final report issued	Adequate
	Robert Peel Lower Follow up		Final report issued	Satisfactory progress

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	Manshead Upper		Final report issued	Adequate
	Russell Lower Follow up		Final report issued	Satisfactory progress
Total - Carry Over Work		120		
Fundamental Systems				
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Fieldwork in progress	
H	Accounts Receivable/Sales Ledger	30	Fieldwork in progress	
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Not yet started	
H	Council Tax (including Council Tax Support Scheme)	35	Fieldwork in progress	
H	Housing Benefits	40	Fieldwork in progress	
H	Main Accounting Systems (MAS)	30	Fieldwork in progress	
H	National Non Domestic Rates NNDR	35	Fieldwork in progress	
H	Payroll	35	Scoping in progress	
H	SWIFT Financials	15	Not yet started	
H	Treasury Management	25	Fieldwork in progress	
H	Housing Rents including tenant arrears	25	Fieldwork in progress	
H	Cash And Banking (Non Invoiced Income)	20	Fieldwork in progress	
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality	15	Scoping in progress	
H	Information Governance - application of framework	15	Scoping in progress	
M	Corporate Governance Reviews	15	Not yet started	
H	IT Disaster Recovery	10	Fieldwork completed	
H	SAP Access and Security	10	Not yet started	
M	Third Party Hosting	15	Not yet started	
M	IT Network Management and Security	15	Not yet started	
M	IT Governance Follow Up Audit	15	Not yet started	
Total- Improvement and Corporate Services		110		

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Assurance Audits - Finance				
M	Adherence to Procurement Procedures	15	Scoping in progress	
L	NNDR1 and 3 return - processes for assurance on compilation	5	Fieldwork in progress	
M	VAT audit	10	Scoping in progress	
M	Grants audit	10	Not yet started	
Total - Finance		40		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Rolling programme. 5 reports finalised to date. See below	all adequate
	Tithe Farm lower		Final report issued	Adequate
	Church End lower		Final report issued	Adequate
	Shelton Lower		Final report issued	Adequate
	Brewers Hill Middle		Final report issued	Adequate
	Thornhill Lower		Final report issued	Adequate
M	Children's Centres	10	Scoping in progress	
H	Troubled Families Grant	10	Fieldwork in progress	
H	Frameworkki	10	Not yet started	
Total - Children's Services		110		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs	20	Will be undertaken in Quarter 4	
M	Residential care homes	20	Scoping in progress	
M	Supporting delivery of new housing	15	Not yet started	
M	Care Act/Better Care Fund intergration	15	Not yet started	
Total - Social Care, Health and Housing		70		
Assurance Audits - Community Services				
M	Car Parks	15	Fieldwork in progress	
M	Review of Leisure Centres outcomes	15	Scoping in progress	
Total - Community Services		30		
Assurance Audits - Regeneration and Business Support				
M	European Social fund	15	Fieldwork in progress	
M	CIL/S106 - review of mechanisms for alignment	15	Not yet started	
Total - Regeneration and Business Support		30		
Assurance Audits - Contracts and Partnerships				
M	Contract Management	20	Not yet started	
Total - Contracts and Partnerships		20		

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Assurance Audits - Public Health				
M	Public Health compliance with best practice	15	Not yet started	
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations and supporting the Corporate Fraud Team	40	Ongoing throughout year	n/a
Pro Active Anti Fraud:				
M	Financial assessment processes SCHH	10	Scoping agreed	
M	Cash income	10	Not yet started	
M	Bank Accounts and Cash income	10	Not yet started	
M	Use of purchasing Cards	10	Fieldwork in progress	
Total - Special investigations		100		
Ad Hoc Consultancy etc.,				
H	Risk Management Activities	35	Ongoing throughout year	n/a
M	Major projects - Consultancy	40	Ongoing throughout year	n/a
M	Supporting Annual Governance Statement	5	2014/15 statement completed	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	includes Broadband claim
M	General Advice	20	Ongoing throughout year	n/a
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a
M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	70		n/a
Total - Ad Hoc Consultancy etc.		260		
TOTAL CHARGEABLE DAYS REQUIRED		1260		