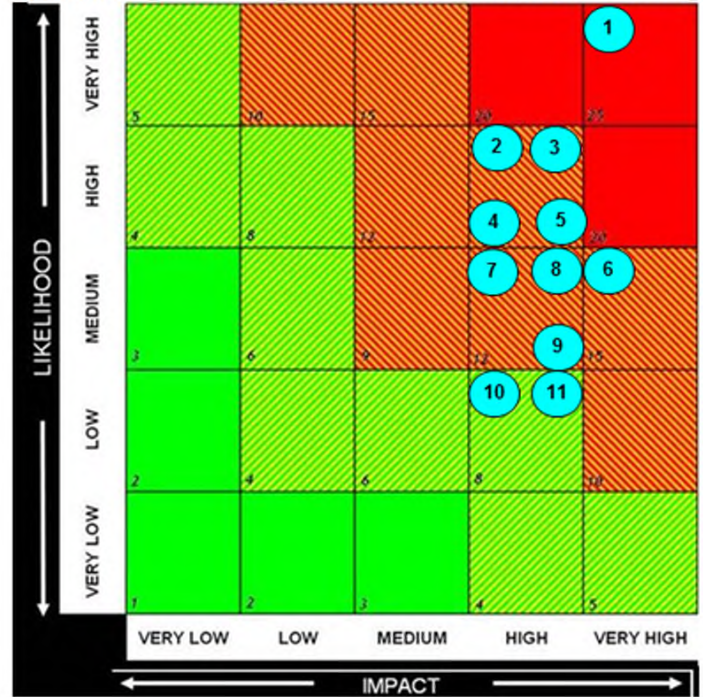


CBC Strategic Risk Register Matrix - Residual Risk Rating



Key		2013/14		2014/15			2015/16	
#	Reference	Dec	Mar	Sept	Dec	Mar	Sept	Dec
1	STR0019	15	15	20	20	20	20	20
2	STR0001	12	12	12	12	12	16	16
3	STR0003	16	16	16	12	12	16	16
4	STR0009	8	8	8	16	16	16	16
5	STR0027				20	16	16	16
6	STR0010	12	15	15	15	15	15	15
7	STR0022	12	12	12	12	12	12	12
8	STR0024			20	12	12	12	12
9	STR0028						12	12
10	STR0008	16	15	12	12	12	8	8
11	STR0013	15	15	12	12	12	8	8

Overview of Risk Position - December 2015

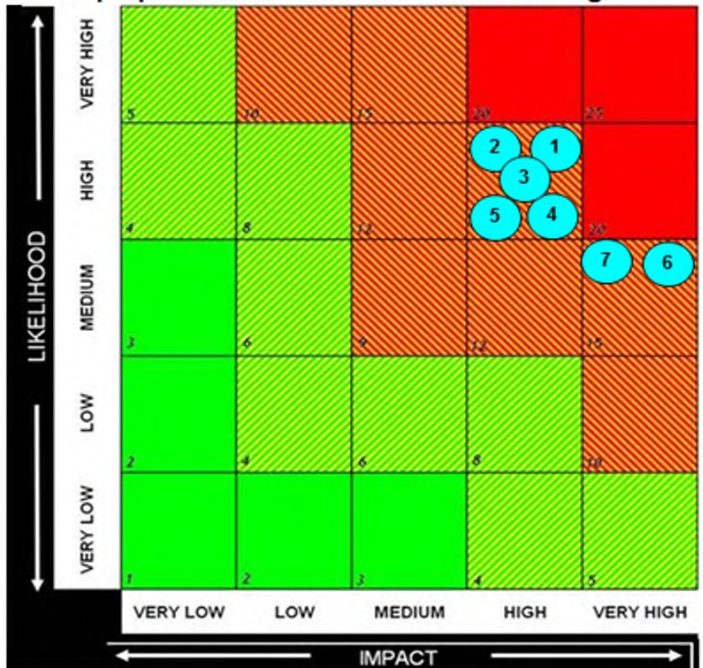
The risk with the highest residual score is: STR0019 - Failure to deliver effective and cohesive Health and Social Care to residents

The following risks have been removed: STR0006 - Health and Safety, STR0026 - Deprivation of Liberty

Revisions to the descriptions or mitigating/further actions have been made to the following risks: STR0003 - Growth, STR0022 - Procurement, STR0027 - Community Infrastructure Levy

The matrix also highlights the most noteworthy operational risks facing CBC.

CBC Top Operational Risks - Residual Risk Rating



Key		2013/14		2014/15			2015/16	
#	Reference	Dec	Mar	Sept	Dec	Mar	Sept	Dec
1	SCH0004	16	16	16	16	16	16	16
2	SCH0007	16	16	16	16	16	16	16
3	SCH0008	16	16	16	16	16	16	16
4	SCH0005	16	16	16	16	16	16	16
5	CHS0005		16	16	16	16	16	16
6	CHS0023						15	15
7	SUC030007	12	12	12	12	12	15	15



Assessing Impact

Impact Score	Impact Title	Example Description
5	Catastrophic	Total system dysfunction, total shutdown of operations, financial loss over £5m, key person resignation/removal, sustained adverse publicity in national media, fatality or permanent disability
4	Severe	All operational areas of a location compromised, other locations may be affected, financial loss up to £5m, sustained adverse publicity in national media, greater than 6 months absence for more than 5 people (single event)
3	Major	Disruption to a number of operational areas within a location and possible flow on to other locations, financial loss up to £1m, significant adverse publicity national media, greater than 20 days absence for more than 5 people (single event),
2	Reasonable	Some disruption manageable by altered operational routine, financial loss up to £250k, significant adverse publicity in local media, short term absence for up to 5 people (single event)
1	Low	Minimal interruption to service, financial loss up to £100k, Minor adverse publicity in local media, short term absence for up to 5 people (single event)

Assessing Likelihood

Scale	Description	Likelihood of Occurrence
5	Almost Certain	Likely to occur each year/over 60% chance of occurrence
4	Likely	Likely to occur every 3 years/up to a 60% chance of occurrence
3	Possible	Likely to occur every 5 years/up to a 40% chance of occurrence
2	Unlikely	Likely to occur every 10 years/up to a 20% chance of occurrence
1	Rare	Likely to occur every 10+ years/up to a 10% chance of occurrence

APPENDIX A