

Priority	Audit Title	2015/16 Days	Position as at end February 2016	Opinion
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2015	120		
	Accounts Payable 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	Asset Management 2014-15		Final report issued	Adequate
	Council Tax 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	NDR 2014-15 Phase 2		Final report issued	Adequate (as Phase 1)
	Payroll Phase 2		Final report issued	Adequate (as Phase 1)
	Housing Rents Phase 2		Final report issued	Adequate (as Phase 1)
	Housing Benefits 14-15 Phase 2		Final report issued	Adequate (as Phase 1)
	ICT Contract Management		Briefing Note issued	n/a
	Domiciliary Care Framework Agreement		Draft Report issued.	
	SWIFT Financials		Final report issued	Adequate
	Information Governance - Transparency Code		Final Draft Report issued.	
	Application Reviews (framework i)		Fieldwork in progress	
	Customer First		Fieldwork in progress	
	Compliance- Assets		Deferred to 2016/17	
	Integrated Asset Management System (IAMS)		Final report issued	Adequate
	School Transport		Deferred to 2017/18	
	Direct Payments		Report currently being drafted	
	Housing Tenancy Management		Final report issued	Adequate
	Residential Care Homes - Review opening balances on clients' funds		Final report issued	Limited
	Residential Care Homes - Payroll Due Diligence		Work finalised	n/a
	Care Homes - Accreditation Scheme for Dementia		Final report issued.	Adequate
	Public Health compliance with best practice: Obesity Management		Final report issued	Adequate
	Proactive Anti- Fraud Care Homes Amenity Funds		Final report issued	Adequate
	Proactive Anti- Fraud Review 14-15: Timesheets		Fieldwork in progress	

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	Proactive Anti- Fraud Review 14-15: Expense Claims		Scoping agreed.	
	Maple Tree Lower		Final report issued	Adequate
	Robert Peel Lower Follow up		Final report issued	Satisfactory progress
	Manshead Upper		Final report issued	Adequate
	Russell Lower Follow up		Final report issued	Satisfactory progress
Total - Carry Over Work		120		
Fundamental Systems				
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Final report issued	Adequate
H	Accounts Receivable/Sales Ledger	30	Final report issued	Adequate
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Fieldwork in progress	
H	Council Tax (including Council Tax Support Scheme)	35	Final report issued	Full
H	Housing Benefits	40	Final report issued	Adequate
H	Main Accounting Systems (MAS)	30	Final report issued	Adequate
H	National Non Domestic Rates NNDR	35	Final report issued	Adequate
H	Payroll	35	Final report issued	Adequate
H	SWIFT Financials	15	Scoping agreed	
H	Treasury Management	25	Final report issued	Full
H	Housing Rents including tenant arrears	25	Final report issued	Adequate
H	Cash And Banking (Non Invoiced Income)	20	Final report issued	Adequate
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality	15	Scoping in progress	
H	Information Governance - application of framework	15	Scoping agreed.	
M	Corporate Governance Reviews	15	Internal Audit to participate in End of Project Review	
H	IT Disaster Recovery	10	Final report issued	Limited
H	SAP Access and Security	10	Scoping in progress	
M	Third Party Hosting	15	Scoping agreed	
M	IT Network Management and Security	15	Scoping agreed	
M	IT Governance Follow Up Audit	15	Fieldwork in progress	

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Total- Improvement and Corporate Services		110		
Assurance Audits - Finance				
M	Financial Procedures	15	Final report issued	Adequate
L	NDR1 and 3 return - processes for assurance on compilation	5	Final report issued	Adequate
M	VAT audit	10	Fieldwork in progress	
M	Grants audit	10	Follow up review started	
Total - Finance		40		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Rolling programme. 6 reports finalised to date. See below	
	Tithe Farm Lower		Final report issued	Adequate
	Church End Lower		Final report issued	Adequate
	Shelton Lower		Final report issued	Adequate
	Brewers Hill Middle		Final report issued	Adequate
	Thornhill Lower		Final report issued	Adequate
	Sandy Upper		Final report issued	Limited
M	Children's Centres	10	Fieldwork in progress	
H	Troubled Families Grant	10	Fieldwork in progress	
H	Frameworki	10	Fieldwork in progress	
Total - Children's Services		110		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs	20	The timing of this review is subject to progress on implementation of recommendations i.e. interface(see Recommendation Tracking report)	
M	Residential care homes	20	All site visits undertaken. Report being drafted.	
M	Supporting delivery of new housing	15	Currently being scoped.	
M	Care Act/Better Care Fund integration	15	Deferred to 2017/18	
Total - Social Care, Health and Housing		70		
Assurance Audits - Community Services				
M	Car Parks	15	Report currently being drafted	
M	Review of Leisure Centres outcomes	15	Deferred until 2016/17	
Total - Community Services		30		

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Assurance Audits - Regeneration and Business Support				
M	European Social fund	15	Fieldwork in progress	
M	S106	15	Fieldwork in progress	
Total - Regeneration and Business Support		30		
Assurance Audits - Contracts and Partnerships				
M	Contract Management	20	Scoping agreed	
Total - Contracts and Partnerships		20		
Assurance Audits - Public Health				
M	Public Health - Follow-up on Data Quality, Health checks offered to 40-74	15	Scoping agreed	
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations and supporting the Corporate Fraud Team	40	Ongoing throughout year	n/a
Pro Active Anti Fraud:				
M	Financial assessment & charging processes for residential care	10	Scoping agreed	
M	Cash income	10	Fieldwork in progress	
M	Bank Accounts and Cash income	10	Fieldwork in progress	
M	Use of purchasing Cards	10	Fieldwork in progress	
Total - Special investigations		100		
Ad Hoc Consultancy etc.,				
H	Risk Management Activities	35	Ongoing throughout year	n/a
M	Major projects - Consultancy	40	Ongoing throughout year	n/a
M	Supporting Annual Governance Statement	5	2014/15 statement completed. Preparation for 2015/16 commenced.	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	includes Broadband claim and Flood Resilience grant
M	General Advice	20	Ongoing throughout year	n/a
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a
M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	70		n/a
Total - Ad Hoc Consultancy etc.		260		

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		Days		
TOTAL CHARGEABLE DAYS REQUIRED		1260		