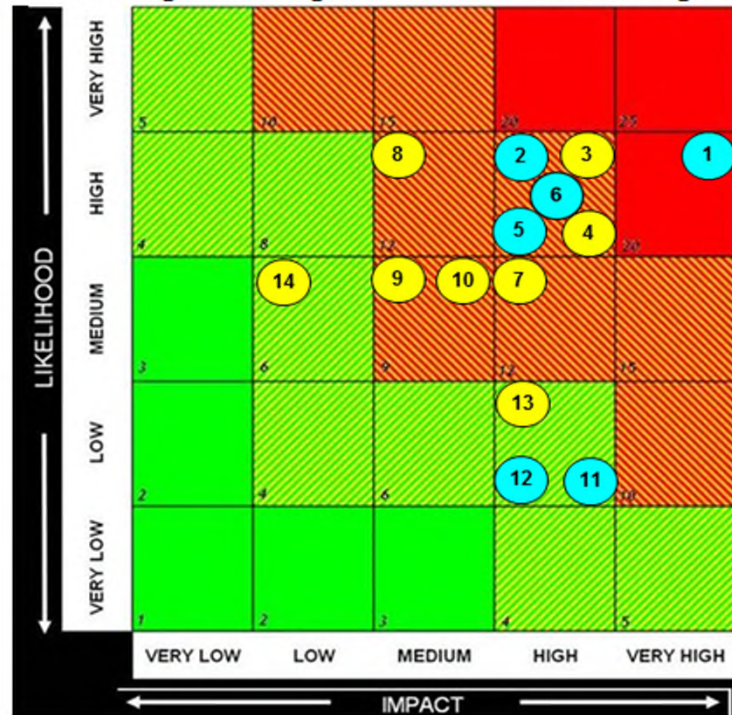


**CBC Strategic Risk Register - Residual Risk Rating**



#	Reference	The	2013/14		2014/15			2015/16			2016/17
			Dec	Mar	Sept	Dec	Mar	Sept	Dec	Mar	May
1	STR0019	The risk to the Health and Social Care System and local residents brought about by significant changes in the National Health system.	15	15	20	20	20	20	20	20	20
2	STR0001	Continuing significant reduction in or redirection of funding due to Central Government cuts, or loss of grant or other funding e.g. Health funding, Schools' Finance Regulations.	12	12	12	12	12	16	16	16	16
3	STR0035	Failure to deliver major transformation programme within Children's Services.									16
4	STR0036	Failure to deliver social cohesion.									16
5	STR0009	Failure of partnerships as a result of conflicting priorities: there is a risk that the Council is unable to develop and manage effective partnerships and influence the activities of the partnerships.	8	8	8	16	16	16	16	16	16
6	STR0027	Failure to adopt a Local Plan and Community Infrastructure Levy (CIL) .				20	16	16	16	16	16
7	STR0032	Unsustainable market for social care.									12
8	STR0038	Ineffective partnership response to emergency planning.									12
9	STR0031	The capacity of the Council to deliver services in the light of further shifts in Government Policy.									9
10	STR0037	Failure to support vulnerable people, which results in higher demand for more intensive services.									9
11	STR0008	Failure or disruption to key elements of core infrastructure (data centre, environment and networks) leading to no functionality for more than 24 hours.	16	15	12	12	12	8	8	8	8
12	STR0013	Information Management: Risk of individual data breaches as a result of carelessness and failing to change working practices/culture	15	15	12	12	12	8	8	8	8
13	STR0034	Failure to deliver the Organisational Development Plan.									8
14	STR0033	The impact of cyber crime.									6

**Overview of Risk Position - June 2016**  
 The risk with the highest residual risk score remains: STR0019 - The risk to the Health and Social Care System and local residents brought about by significant changes in the National Health system.

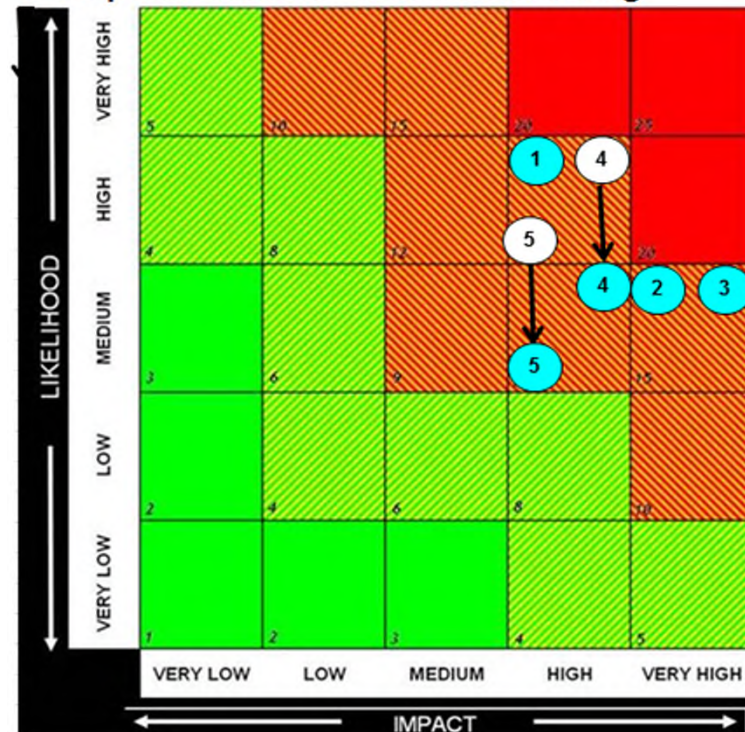
The following risks have been removed:  
 STR0003 - Growth (merged with STR0027)  
 STR0010 - Failure to recruit and retain social workers within Children's Services and discharge the Council's statutory children's safeguarding responsibilities  
 STR0022 - Failure to adhere to Procurement rules  
 STR0024 - Asset Disposal programme - Failure to realise capital receipts  
 STR0028 - Failure to deliver the requirements of the Care Act.

Revisions to the descriptions or mitigating actions/further actions have been made to the following risks:  
 STR0001 - Finance risk  
 STR0013 - Information management risk  
 STR0019 - Risk to Health and Social Care System.

The following risks have been added:  
 STR0031 - The capacity of the Council to deliver services in the light of further shifts in Government policy  
 STR0032 - Unsustainable market for social care  
 STR0033 - The impact of cyber crime  
 STR0034 - Failure to deliver the Organisational Development plan  
 STR0035 - Failure to deliver major transformation programme within Children's Services  
 STR0036 - Social Division  
 STR0037 - Failure to support vulnerable people, leading to higher demand for more intensive services  
 STR0038 - Ineffective partnership response to Emergency Planning

The matrix also highlights the most noteworthy operational risks facing CBC.

**CBC Operational Risks - Residual Risk Rating**



#	Reference	Nature of Risk	2013/14		2014/15			2015/16			2016/17
			Dec	Mar	Sept	Dec	Mar	Sept	Dec	Mar	May
1	CHS0005	Failure to retain and recruit staff within Children's Services.		16	16	16	16	16	16	16	16
2	CHS0023	Failure to manage and secure information leading to security alert and privacy breach.					15	15	15	15	15
3	SUC030007	Traffic accidents causing damage to network	12	12	12	12	12	15	15	15	15
4	SCH0004	Insufficient staff resources resulting in under or mis-direction of investment in the transformation of adult social care services.	16	16	16	16	16	16	16	16	12
5	SCH0008	Insufficient capacity, expertise and competency to deliver Adult Social Care and Housing agenda.	16	16	16	16	16	16	16	16	12

**Key**  
 New Risk (Yellow circle)  
 Current Position (Blue circle)  
 Previous Position (White circle)

Scale	Description	Likelihood of Occurrence
5	Almost Certain	Likely to occur each year/over 60% chance
4	Likely	Likely to occur every 3 years/up to a 60% chance
3	Possible	Likely to occur every 5 years/up to a 40% chance
2	Unlikely	Likely to occur every 10 years/up to a 20% chance
1	Rare	Likely to occur every 10+ years/up to a 10% chance

**Assessing Likelihood**

Scale	Description	Likelihood of Occurrence
5	Almost Certain	Likely to occur each year/over 60% chance
4	Likely	Likely to occur every 3 years/up to a 60% chance
3	Possible	Likely to occur every 5 years/up to a 40% chance
2	Unlikely	Likely to occur every 10 years/up to a 20% chance
1	Rare	Likely to occur every 10+ years/up to a 10% chance

APPENDIX A