

Priority	<u>Audit Title</u>	2016/17	Position as at end August	Opinion
		Days		
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2016	120		
	2015/16 Asset Management (incl Asset Register)		Final report issued	Adequate
	2015/16 Accounts Payable Phase 2 (Comensura)		Final report issued	n/a
	Residential Care Homes		Final report issued	Limited.
	Car Parks - Cash Collection		Final report issued	Adequate
	Children's Centres		Draft report issued	
	Contracts Management - Data Centre Visits		In Progress	
	Customer First		Briefing note	n/a
	Direct Payments for Care		Final report issued	Adequate
	Domiciliary Care Framework Agreement		Final report issued	Adequate
	European Social Funding		Final report issued.	Adequate
	Financial Assessment and Charging for Residential Care		Fieldwork completed	
	Grants		Final report issued.	Limited.
	Information Governance - Transparency		Final report issued.	Adequate
	Information Governance - IG Toolkit		Exit meeting held	n/a
	IT Governance Follow Up		Final report issued.	Satisfactory progress
	IT Network Management and Security		Scoping in progress	
	Mosaic		In Progress	
	2015/16 Payroll Phase 2		Final report issued.	Adequate
	Proactive anti fraud - Timesheets		In Progress	
	Proactive anti fraud - Cash Income		In Progress	
	Proactive anti fraud - Expense claims		In Progress	
	Proactive anti fraud - Imprests		Final report issued	Adequate
	Proactive anti fraud - Purchasing Cards		Final report issued	Limited
	Public Health - Follow up on Data Quality for Health Checks		Final report issued	Good Progress
	Public Health - Teenage Pregnancies Information Governance.		Briefing note issued.	n/a
	Residential Care Homes		Final report issued	Limited
	SAP Access and Security		Field work In progress	
	Section 106 Agreements		Field work In progress	
	Supporting Delivery of New Housing		Scoping in progress	

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	SWIFT Financials		Fieldwork	
	Third Party Hosting		In Progress	
	Troubled Families - Jan 2016 claim		Final report issued.	n/a
	Troubled Families - Data Quality		Draft report issued	
Total - Carry Over Work		120		
Fundamental Systems				
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Scoping agreed	
H	Accounts Receivable/Sales Ledger	30	Scoping in progress	
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Not yet started	
H	Council Tax (including Council Tax Support Scheme)	35	Scoping in progress	
H	Housing Benefits	40	Scoping in progress	
H	Main Accounting Systems (MAS)	30	Scoping in progress	
H	National Non Domestic Rates NNDR	35	Scoping in progress	
H	Payroll	35	Scoping in progress	
H	SWIFT Financials	15	Not started	
H	Treasury Management	25	Scoping in progress	
H	Housing Rents including tenant arrears	25	Scoping in progress	
H	Cash And Banking (Non Invoiced Income)	20	Scoping in progress	
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality - Permanent Admission of Older People (65+) to Residential or Care Homes	15	In Progress	
L	Ethics - Gifts and Hospitality - Members and Officers	15	Brief Issued.	
M	Information Governance	15	Not started	
M	Safer Recruitment	15	In Progress	
M	Comensura	15	Not started	
M	Corporate Governance Reviews	15	Not started	
	IT Reviews:			

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M	IT inventories	15	Not started	
M	IT contracts managed outside IT	15	Scoping in progress	
H	IT Disaster Recovery	10	Not started	
H	SAP Access and Security	10	Not started	
M	IT Customer Service - Problem Resolution	10	Not started	
Total- Improvement and Corporate Services		150		
Assurance Audits - Finance				
M	VAT reviews	10	Not started	
M	Teachers' Pensions	15	Not started	
M	Budgetary Control	15	Not started	
Total - Finance		40		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Rolling programme. One report finalised to date. See below	2 draft reports issued and site visits taken place - add to body of report
	Greenleas Lower School Follow Up		Final report issued.	Good progress.
M	Themed Reviews	15	Not started	
Total - Children's Services		95		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs Follow Up	10	In Progress	
L	Day Centres	10	Fieldwork completed	
Total - Social Care, Health and Housing		20		
Assurance Audits - Community Services				
M	Assets Disposal Programme	10	Not started	
M	Section 38 income	10	In Progress	
H	Section 278 Follow Up audit	5	Draft report issued	Satisfactory progress
M	Highways - assurance on client assurance arrangements	10	Not started	
M	Compliance - Assets	15	Fieldwork completed	
M	Leisure Services Outcomes - Post implementation review of Flitwick Leisure Centre	15	Scoping in progress	
Total - Community Services		65		

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Assurance Audits - Regeneration and Business Support				
M	European Social Fund	15	Not started	
M	Community Infrastructure Levy (CIL)	15	Not started	
Total - Regeneration and Business Support		30		
Assurance Audits - Contracts and Partnerships				
M	Contracts Management	20	Not started	
M	Value for Money reviews	15	Not started	
M	Partnership Governance	15	Not started	
Total - Contracts and Partnerships		50		
Assurance Audits - Public Health				
M	Public Health compliance with best practice	15	Not started	
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations and supporting the Corporate Fraud Team	40	Ongoing throughout year	n/a
Pro Active Anti Fraud:				
L	Cash disbursements	10	Not started	
L	Allocation of Grants	10	Not started	
Total - Special investigations		80		
Ad Hoc Consultancy etc.,				
H	Risk Management Activities	35	Ongoing throughout year	n/a
M	Major projects - Consultancy	40	Ongoing throughout year	n/a
M	Supporting Annual Governance Statement	5	2015/16 statement completed	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	Social Care Capital grant audited
M	General Advice	20	Ongoing throughout year	n/a
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a

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M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	50		n/a
Total - Ad Hoc Consultancy etc.		240		
TOTAL CHARGEABLE DAYS REQUIRED		1260		