

<u>Priority</u>	<u>Audit Title</u>	2016/17	Position as at end February	Opinion (* indicates provisional)
		Days		
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2016	120		
	2015/16 Asset Management (incl Asset Register)		Final report issued	Adequate
	2015/16 Accounts Payable Phase 2 (Comensura)		Final report issued	n/a
	Residential Care Homes		Final report issued	Limited.
	Car Parks - Cash Collection		Final report issued	Adequate
	Children's Centres		Final report issued	Adequate
	Contracts Management - Data Centre Visits		Fieldwork substantially completed	
	Customer First		Briefing note issued	n/a
	Direct Payments for Care		Final report issued	Adequate
	Domiciliary Care Framework Agreement		Final report issued	Adequate
	European Social Funding		Final report issued	Adequate
	Financial Assessment and Charging for Residential Care		Draft Report Issued.	
	Grants		Final report issued	Limited.
	Information Governance - Transparency		Final report issued	Adequate
	Information Governance - IG Toolkit		Briefing Note issued	n/a
	IT Governance Follow Up		Final report issued	Satisfactory progress
	IT Network Management and Security		Scoping in progress	
	Mosaic		Fieldwork in Progress	
	2015/16 Payroll Phase 2		Final report issued	Adequate
	Proactive anti fraud - Timesheets		Briefing note issued	n/a
	Proactive anti fraud - Cash Income		Fieldwork in Progress	n/a
	Proactive anti fraud - Expense claims		Briefing note issued	n/a

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	Proactive anti fraud - Imprests		Final report issued	Adequate
	Proactive anti fraud - Purchasing Cards		Final report issued	Limited
	Public Health - Follow up on Data Quality for Health Checks		Final report issued	Good Progress
	Public Health - Teenage Pregnancies Information Governance.		Briefing note issued	n/a
	Residential Care Homes		Final report issued	Limited
	Section 106 Agreements		Deferred pending outcome of external review currently in Progress.	
	Supporting Delivery of New Housing		Not started	
	SWIFT Financials		Final report issued	Limited
	Third Party Hosting		Fieldwork In progress	
	Supporting Families - Jan 2016 claim		Final report issued	n/a
	Supporting Families - Data Quality		Final report issued	Limited
Total - Carry Over Work		120		
Fundamental Systems				
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Report being Drafted	Adequate *
H	Accounts Receivable/Sales Ledger	30	Final report issued	Adequate
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Fieldwork in Progress	
H	Council Tax (including Council Tax Support Scheme)	35	Final report issued	Full
H	Housing Benefits	40	Final report issued	Adequate
H	Main Accounting Systems (MAS)	30	Final report issued	Adequate
H	Non Domestic Rates (NDR)	35	Final report issued	Full

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H	Payroll	35	Final report issued	Adequate
H	SWIFT Financials	15	Report being drafted	
H	Treasury Management	25	Final report issued	Full
H	Housing Rents including tenant arrears	25	Final report issued	Full
H	Cash And Banking (Non Invoiced Income)	20	Final report issued	Adequate
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality - Permanent Admission of Older People (65+) to Residential or Care Homes	15	Fieldwork In Progress	
L	Ethics - Gifts and Hospitality - Members and Officers	15	Fieldwork In Progress	
M	Information Governance	15	Scoping in progress	
M	Safer Recruitment	15	Final Report issued.	Adequate
M	Comensura	15	Fieldwork In Progress	
M	Corporate Governance Reviews	15	Not started	
IT Reviews:				
M	IT inventories	15	Brief Issued	
M	IT contracts managed outside IT	15	Field work in progress	
H	IT Disaster Recovery	10	Brief Issued	
H	SAP Access and Security	10	Field work in progress	
M	IT Customer Service - Problem Resolution	10	Brief Issued	
Total- Improvement and Corporate Services		150		
Assurance Audits - Finance				
M	VAT reviews	10	Fieldwork In Progress	
M	Teachers' Pensions	15	Report being drafted	

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M	Budgetary Control	15	Fieldwork in progress	
Total - Finance		40		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Rolling programme. Five reports finalised to date. See below	
	Greenleas Lower School Follow Up		Final report issued.	Good progress.
	Moggerhanger Lower School		Final report issued.	Limited
	Southill Lower School		Final report issued.	Adequate
	Stondon Lower School		Final report issued.	Adequate
	Westfield Nursery School		Final report issued.	Adequate
	Maulden Lower School		Report being drafted	
	Sandy Upper School		Draft Report Issued	
M	Themed Reviews	15	Not started	
Total - Children's Services		95		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs Follow Up	10	Final report issued	Satisfactory progress
L	Day Centres	10	Fieldwork completed	
Total - Social Care, Health and Housing		20		
Assurance Audits - Community Services				
M	Assets Disposal Programme	10	Scoping in progress	
M	Section 38 income	10	Deferred to 17/18 due to Business Restructure	

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H	Section 278 Follow Up audit	5	Final report issued	Satisfactory progress
M	Highways - assurance on client assurance arrangements	10	Scoping in progress	
M	Compliance - Assets	15	Final report issued	Limited
M	Leisure Services Outcomes - Post implementation review of Flitwick Leisure Centre	15	Fieldwork In Progress	
Total - Community Services		65		
Assurance Audits - Regeneration and Business Support				
M	European Social Fund	15	Scoping in progress	
M	Community Infrastructure Levy (CIL)	15	Deferred to 18/19	n/a
Total - Regeneration and Business Support		30		
Assurance Audits - Contracts and Partnerships				
M	Contracts Management	20	Not started	
M	Value for Money reviews	15	Scoping in progress	
M	Partnership Governance	15	Scoping in progress	
Total - Contracts and Partnerships		50		
Assurance Audits - Public Health				
M	Public Health compliance with best practice	15	supporting service area with their review	n/a
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations and supporting the Corporate Fraud Team	40	Ongoing throughout year	n/a
Pro Active Anti Fraud:				
L	Cash disbursements	10	Not started	
L	Allocation of Grants	10	Not started	

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Total - Special investigations		80		
Ad Hoc Consultancy etc.,				
H	Risk Management Activities	35	Ongoing throughout year	n/a
M	Major projects - Consultancy	40	Ongoing throughout year	n/a
M	Supporting Annual Governance Statement	5	2015/16 statement completed	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	Social Care Capital grant audited
M	General Advice	20	Ongoing throughout year	n/a
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a
M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	50		n/a
Total - Ad Hoc Consultancy etc.		240		
TOTAL CHARGEABLE DAYS REQUIRED		1260		