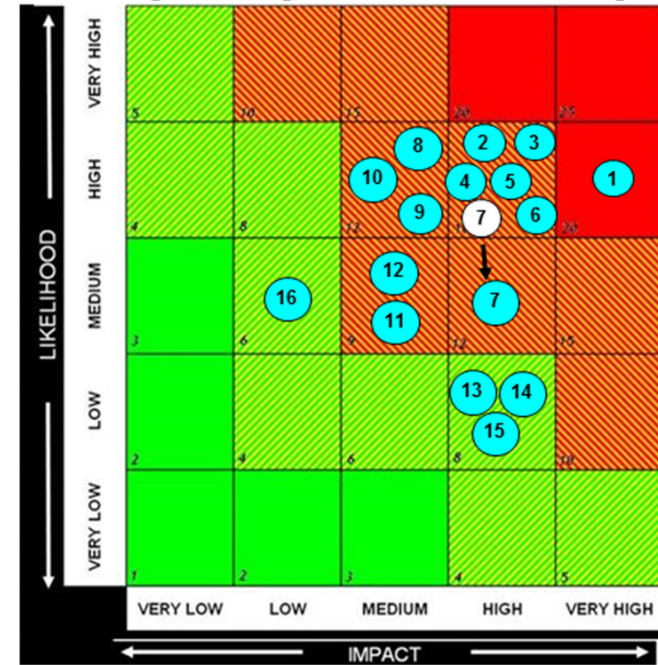


CBC Strategic Risk Register - Residual Risk Rating



Key			2014/15			2015/16			2016/17				2017/18
#	Reference	The	Sept	Dec	Mar	Sept	Dec	Mar	May	Aug	Dec	Mar	June
1	STR0019	The risk to the Health and Social Care System and local residents brought about by significant changes in the National Health system.	20	20	20	20	20	20	20	20	20	20	20
2	STR0001	Continuing significant reduction in or redirection of funding due to Central Government cuts, or loss of grant or other funding e.g. Health funding, Schools' Finance Regulations.	12	12	12	16	16	16	16	16	16	16	16
3	STR0035	Failure to deliver major transformation programme within Children's Services.							16	16	16	16	16
4	STR0036	Failure to deliver social cohesion.							16	16	16	16	16
5	STR0027	Failure to adopt a Local Plan and Community Infrastructure Levy (CIL) .	20	16	16	16	16	16	16	16	16	16	16
6	STR0032	Unsustainable market for social care.							12	16	16	16	16
7	STR0009	Failure of partnerships as a result of conflicting priorities: there is a risk that the Council is unable to develop and manage effective partnerships and influence the activities of the	8	16	16	16	16	16	16	16	16	16	12
8	STR0039	Failure to manage demand for rented and specialist accommodation as a consequence of constrained housing supply.								12	12	12	12
9	STR0037	Failure to support vulnerable people and families, which results in higher demand for more intensive services.							9	12	12	12	12
10	STR0040	Political and economic uncertainty arising from UK leaving EU and other significant international events.								12	12	12	12
11	STR0031	The capacity of the Council to deliver services in the light of further shifts in Government Policy.							9	9	9	9	9
12	STR0038	Ineffective partnership response to Emergency Planning.							12	12	12	9	9
13	STR0008	Failure or disruption to key elements of core infrastructure (data centre, environment and networks) leading to no functionality for more than 24 hours.	12	12	12	8	8	8	8	8	8	8	8
14	STR0013	Information Management: a lack of consistent information management and data accuracy across the organisation leading to non compliance with the Data Protection Act and a breach of information security.	12	12	12	8	8	8	8	8	8	8	8
15	STR0034	Failure to deliver the Organisational Development Plan.							8	8	8	8	8
16	STR0033	The impact of cyber crime.							6	6	6	6	6

Overview of Risk Position - March 2017

The risk with the highest residual risk score remains: STR0019 - The risk to the Health and Social Care System and local residents brought about by significant changes in the National Health system.

STR0009 - The risk of failure of partnerships as a result of conflicting priorities has been rescored.

Revisions to the descriptions or mitigating actions/further actions have been made to the following risks:

- STR0008 - failure or disruption to key parts of the Councils ICT systems
- STR0033 - the impact of cyber crime
- STR0034 - failure to deliver the organisational plan
- STR0037 - failure to support vulnerable people and families



Assessing Impact

Impact Score	Impact Title	Example Description
5	Catastrophic	Total system dysfunction, total shutdown of operations, financial loss over £5m, key person resignation/removal, sustained adverse publicity in national media, fatality or permanent disability
4	Severe	All operational areas of a location compromised, other locations may be affected, financial loss up to £5m, sustained adverse publicity in national media, greater than 6 months absence for more than 5 people (single event)
3	Major	Disruption to a number of operational areas within a location and possible flow on to other locations, financial loss up to £1m, significant adverse publicity national media, greater than 20 days absence for more than 5 people (single event),
2	Reasonable	Some disruption manageable by altered operational routine, financial loss up to £250k, significant adverse publicity in local media, short term absence for up to 5 people (single event)
1	Low	Minimal interruption to service, financial loss up to £100k, Minor adverse publicity in local media, short term absence for up to 5 people (single event)

Assessing Likelihood

Scale	Description	Likelihood of Occurrence
5	Almost Certain	Likely to occur each year/over 60% chance of occurrence
4	Likely	Likely to occur every 3 years/up to a 60% chance of occurrence
3	Possible	Likely to occur every 5 years/up to a 40% chance of occurrence
2	Unlikely	Likely to occur every 10 years/up to a 20% chance of occurrence
1	Rare	Likely to occur every 10+ years/up to a 10% chance of occurrence

APPENDIX A