

Priority	Audit Title	2017/18 Days	Link to strategic risk	Position as at end August	Opinion
H	B/f work				
	Accounts Payable/Purchase Ledger (including feeder systems)			Final report issued	Adequate
	Asset Management (incl. Asset Register)/Capital Accounting			Final report issued	Adequate
	SWIFT Financials			Final report issued	Limited
	Contracts Management - Data Centre Visits			Briefing Note issued	n/a
	Proactive anti fraud - Employee Self Service (Expense claims and Timesheets)			Briefing Note issued	n/a
	Maulden Lower School			Final report issued	Limited
	Sandy Upper School Follow-up			Final report issued	Unsatisfactory Progress
	VAT			Final report issued	Adequate
	Financial Assessment & Charging for Residential Care			Final report issued	Adequate
	Members, Declarations of Interest, Gifts & Hospitality			Final report issued	Adequate
	Comensura			Draft report issued	Adequate*
	IT inventories			Final report issued	Adequate
	Leisure Services Outcomes - Post implementation review of Flitwick Leisure Centre			Final report issued	Adequate
	IT Customer Service - problem resolution (Helpdesk)			Fieldwork in progress	-
	Section 106 Agreements			Deferred to 18/19 pending outcome of external review.	-
Total - Carry Over Work		120			
Fundamental Systems					
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	STR0001	Fieldwork in progress	
H	Accounts Receivable/Sales Ledger	30	STR0001	Draft Report	Adequate*
H	Council Tax (including Council Tax Support Scheme)	35	STR0001	Fieldwork in progress	
H	Housing Benefits	40	STR0001	Draft Report	Adequate*
H	Main Accounting Systems (MAS)	30	STR0001	Draft Report	Adequate*
H	National Non Domestic Rates NNDR	35	STR0001	Fieldwork in progress	
H	Payroll	35	STR0001	Fieldwork in progress	
H	SWIFT Financials	15	STR0001		
H	Treasury Management	20	STR0001	Fieldwork in progress	
H	Housing Rents including tenant arrears	25	STR0001	Fieldwork in progress	
H	Cash And Banking (Non Invoiced Income)	20	STR0001	Fieldwork in progress	
Total - Fundamental Systems		320			
Assurance Audits - Chief Executive					
M	Data Quality	15	STR0013		
M	Information Governance (Records Management)	15	STR0013	Final report issued	Adequate
Total - Chief Executive		30			

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Assurance Audits - Resources					
M	VAT reviews	10	STR0001	Cancelled	
M	Budgetary Control	15	STR0001	Fieldwork in progress	
L	Highways Infrastructure - processes for accounting	15	STR0001	Cancelled	
L	Ethics - Declarations of Interests, Gifts and Hospitality - Officers	10	Governance		
M	Corporate Governance Reviews - LGSS Law	15	Governance	Fieldwork in progress	
L	Democratic Services Review	10	Governance	Scoping in progress	
Total - Resources		75			
Assurance Audits - Children's Services					
M	Schools General - School Improvement	80	Governance	Rolling programme, 1 School completed see below	
	Watling Lower School		Governance/Operational	Final Report Issued	Limited
M	Themed Reviews	15	STR0035		
M	Pro-active Fraud review - Cash Disbursements	20	Counter Fraud	Final Report Issued	Adequate
Total - Children's Services		115			
Assurance Audits - Social Care, Health and Housing					
L	Day Centres	10	Operational	Cancelled	
M	Better Care Fund Integration	15	STR0009		
M	New Generation Housing	15	STR0032	Cancelled, Service Request	
M	Direct Payments - Review of controls	15	Operational	Deferred to 18/19	
M	Follow-up on QL - SAP interface	5	STR0001	Final Report Issued	
Total - Social Care, Health and Housing		60			
Assurance Audits - Community Services					
M	Highways - assurance on client assurance arrangements	10	Operational	Deferred to 18/19 at Service Request	
M	Compliance - Assets	10	Operational		
M	School Transport	15	Operational	Deferred to 18/19 at Service Request	
IT Reviews:					
M	Cloud Hosting Data Storage	15	STR0008	Cancelled	
H	IT Cyber Security	15	STR0033	Scoping in progress	
M	Project Management - IT Governance	15	Governance	Scoping in progress	
M	Desk Top Environment - End User Compliance	15	STR0008	Cancelled	
H	IT Disaster Recovery	10	STR0008	Scoping in progress	
H	SAP Access and Security	10	STR0013	Scoping in progress	
M	Third Party Hosting	15	STR0013	Fieldwork completed	
Total - Community Services		130			
Assurance Audits - Regeneration and Business Support					
M	European Social Fund	15	Operational		
M	Albion Archaeology	15	Operational		
Total - Regeneration and Business Support		30			
Assurance Audits - Contracts and Partnerships					
M	Contracts Management	20	STR0001/Operational	Deferred to 18/19	
M	Value for Money reviews	15	STR0001/Operational	Cancelled	
M	Partnership Governance	15	STR0009/Governance		
Total - Contracts and Partnerships		50			
Assurance Audits - Public Health					
M	Public Health compliance with best practice	15	STR0038		
M	Joint Procurement Arrangements	15	STR0038		
Total - Public Health		30			
Special Investigations					
M	National Fraud Initiative (NFI)	20	STR0001	Ongoing throughout year	
M	Special Investigations	40	STR0001		
Pro active anti fraud reviews					
L	Allocation of Grants	10	Operational		
Total - Special investigations		70			
Ad Hoc Consultancy etc.					
H	Risk Management Activities	35	-	Ongoing throughout year	
M	Major projects - Consultancy	70	-	Ongoing throughout year	
M	Supporting Annual Governance Statement	5	-	Ongoing throughout year	
M	Audit of Individual Grants	20	-	Ongoing throughout year	
M	General Advice	20	-	Ongoing throughout year	
M	Head of Audit Chargeable Against Plan	60	-	Ongoing throughout year	
M	Assurance Mapping Review	5	-	Ongoing throughout year	
L	Contingency	15	-		
Total - Ad Hoc Consultancy etc.		230			

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TOTAL CHARGEABLE DAYS REQUIRED		1260			