

Priority	Audit Title	2017/18 Days	Link to strategic risk	Position as at end Feb	Opinion
<b>H</b>	<b>B/f work</b>				
	Accounts Payable/Purchase Ledger (including feeder systems)			Final report issued	Adequate
	Asset Management (incl. Asset Register)/Capital Accounting			Final report issued	Adequate
	SWIFT Financials			Final report issued	Limited
	Contracts Management - Data Centre Visits			Briefing Note issued	n/a
	Proactive anti fraud - Employee Self Service (Expense claims and Timesheets)			Briefing Note issued	n/a
	Maulden Lower School			Final report issued	Limited
	Sandy Upper School Follow-up			Final report issued	Unsatisfactory Progress
	VAT			Final report issued	Adequate
	Financial Assessment & Charging for Residential Care			Final report issued	Adequate
	Members, Declarations of Interest, Gifts & Hospitality			Final report issued	Adequate
	Comensura			Draft report issued	Adequate
	IT inventories			Final report issued	Adequate
	Leisure Services Outcomes - Post implementation review of Flitwick Leisure Centre			Final report issued	Adequate
	IT Customer Service - problem resolution (Helpdesk)			Fieldwork in progress	-
	Section 106 Agreements			Deferred to 18/19 pending outcome of external review.	-
<b>Total - Carry Over Work</b>		<b>120</b>			
<b>Fundamental Systems</b>					
<b>H</b>	Accounts Payable/Purchase Ledger ( including feeder systems)	35	STR0001	Draft Report	Adequate*
<b>H</b>	Accounts Receivable/Sales Ledger	30	STR0001	Draft Report	Adequate
<b>H</b>	Council Tax (including Council Tax Support Scheme)	35	STR0001	Draft Report	Adequate
<b>H</b>	Housing Benefits	40	STR0001	Draft Report	Adequate
<b>H</b>	Main Accounting Systems (MAS)	30	STR0001	Draft Report	Adequate
<b>H</b>	National Non Domestic Rates NDR	35	STR0001	Draft Report	Adequate
<b>H</b>	Payroll	35	STR0001	Fieldwork in progress	
<b>H</b>	SWIFT Financials	15	STR0001	Fieldwork in progress	
<b>H</b>	Housing Rents including tenant arrears	25	STR0001	Draft Report	Adequate
<b>H</b>	Cash And Banking (Non Invoiced Income)	20	STR0001	Draft Report	Adequate
<b>Total - Fundamental Systems</b>		<b>320</b>			
<b>Assurance Audits - Chief Executive</b>					
<b>M</b>	Data Quality	15	STR0013	Scoping	
<b>M</b>	Information Governance (Records Management)	15	STR0013	Final report issued	Adequate
<b>Total - Chief Executive</b>		<b>30</b>			

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<b>Assurance Audits - Resources</b>					
M	VAT reviews	10	STR0001	Cancelled	
M	Budgetary Control	15	STR0001	Draft Report	Adequate*
L	Highways Infrastructure - processes for accounting	15	STR0001	Cancelled	
L	Ethics - Declarations of Interests, Gifts and Hospitality - Officers	10	Governance	Fieldwork in progress	
H	Treasury Management	20	STR0001	Fieldwork in progress	
M	Corporate Governance Reviews - LGSS Law	15	Governance	Final Report	Adequate
L	Democratic Services Review	10	Governance	Fieldwork in progress	
<b>Total - Resources</b>		<b>75</b>			
<b>Assurance Audits - Children's Services</b>					
M	Schools General - School Improvement	70	Governance	Rolling programme, 1 School completed, 5 In Progress see below	
	Watling Lower School		Governance/Operational	Final Report Issued	Limited
	Chiltern School		Governance/Operational	Fieldwork in progress	
	Pulford Lower		Governance/Operational	Fieldwork in progress	
	Beaudesert Lower		Governance/Operational	Fieldwork in progress	
	Clipstone Brook Lower		Governance/Operational	Fieldwork in progress	
	Swallowfield Lower		Governance/Operational	Fieldwork in progress	
M	Contract review of Alternative Provision (Education)	10	STR0035	Fieldwork in progress	
M	Themed Reviews	15	STR0035	Fieldwork in progress	
M	Pro-active Fraud review - Cash Disbursements	20	Counter Fraud	Final Report Issued	Adequate
<b>Total - Children's Services</b>		<b>115</b>			
<b>Assurance Audits - Social Care, Health and Housing</b>					
L	Day Centres	10	Operational	Cancelled	
M	Better Care Fund Integration	15	STR0009	Scoping	
M	New Generation Housing	15	STR0032	Cancelled, Service Request	
M	Direct Payments - Review of controls	15	Operational	Deferred to 18/19	
M	Follow-up on QL - SAP interface	5	STR0001	Final Report Issued	
<b>Total - Social Care, Health and Housing</b>		<b>60</b>			
<b>Assurance Audits - Community Services</b>					
M	Highways - assurance on client assurance arrangements	10	Operational	Deferred to 18/19 at Service Request	
M	Compliance - Assets	10	Operational		
M	School Transport	15	Operational	Deferred to 18/19 at Service Request	
<b>IT Reviews:</b>					
M	Cloud Hosting Data Storage	15	STR0008	Cancelled	
H	IT Cyber Security	15	STR0033	Scoping in progress	
M	Project Management - IT Governance	15	Governance	Scoping in progress	
M	Desk Top Environment - End User Compliance	15	STR0008	Cancelled	
H	IT Disaster Recovery	10	STR0008	Scoping in progress	
H	SAP Access and Security	10	STR0013	Scoping in progress	
M	Third Party Hosting	15	STR0013	Fieldwork completed	
<b>Total - Community Services</b>		<b>130</b>			
<b>Assurance Audits - Regeneration and Business Support</b>					
M	European Social Fund	15	Operational		
M	Albion Archaeology	15	Operational		
<b>Total - Regeneration and Business Support</b>		<b>30</b>			
<b>Assurance Audits - Contracts and Partnerships</b>					
M	Contracts Management	20	STR0001/Operational	Deferred to 18/19	
M	Value for Money reviews	15	STR0001/Operational	Cancelled	
M	Partnership Governance	15	STR0009/Governance	Covered - LGSS Law review	
<b>Total - Contracts and Partnerships</b>		<b>50</b>			
<b>Assurance Audits - Public Health</b>					
M	Public Health compliance with best practice	15	STR0038	Scoping	
M	Joint Procurement Arrangements	15	STR0038	Scoping	
<b>Total - Public Health</b>		<b>30</b>			
<b>Special Investigations</b>					
M	National Fraud Initiative (NFI)	20	STR0001	Ongoing throughout year	
M	Special Investigations (Three to date)	40	STR0001		
<b>Pro active anti fraud reviews</b>					
L	Allocation of Grants	10	Operational		
<b>Total - Special investigations</b>		<b>70</b>			
<b>Ad Hoc Consultancy etc.</b>					
H	Risk Management Activities	35	-	Ongoing throughout year	
M	Major projects - Consultancy	70	-	Ongoing throughout year	
M	Supporting Annual Governance Statement	5	-	Ongoing throughout year	
M	Audit of Individual Grants	20	-	Ongoing throughout year	
M	General Advice	20	-	Ongoing throughout year	
M	Head of Audit Chargeable Against Plan	60	-	Ongoing throughout year	
M	Assurance Mapping Review	5	-	Ongoing throughout year	
L	Contingency	15	-		
<b>Total - Ad Hoc Consultancy etc.</b>		<b>230</b>			

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<b>TOTAL CHARGEABLE DAYS REQUIRED</b>		<b>1260</b>			