

Priority	Audit Title	2018/19 Days	Risk	Position as at June 30th	Opinion
Audits in Progress at Year End					
Fundamental	Accounts Payable-Purchase Ledger ( including feeder systems)		STR0001	Complete	Adequate
Fundamental	Payroll		STR0001	Complete	Adequate
Fundamental	SWIFT Financials - Key Control walk throughs		STR0001	Complete	
High	Academy of Central Bedfordshire		Consultancy	Complete	
Low	Democratic Governance		Governance/Operational	Complete	Adequate
Medium	Review of Public Health Contributions to other Directorates		Governance/Operational	Complete	Adequate
Medium	Chiltern School		Governance/Operational	Complete	Adequate
High	Cyber Security		STR0008/STR00033	Complete	Limited
Medium	European Regional Development Fund - Procurement 17-18		Operational	Complete	Adequate
Medium	Clipstone Brook Lower		Governance/Operational	Complete	Adequate
Medium	Swallowfield Lower		Governance/Operational	Complete	Limited
Medium	Pulford Lower		Governance/Operational	Complete	Adequate
High	Treasury Management		STR0001	Complete	Adequate
Low	Ethics - Declarations of Interests, Gifts and Hospitality - Officers		Governance	Complete	Adequate
Medium	Joint Procurement Arrangements		STR009	Complete	Adequate
Medium	Beaundesert Lower		Governance/Operational	Complete	Adequate
High	SAP Access and Security		STR0008/STR00033	Complete	Limited
Medium	Houghton Regis Lower		Governance/Operational	Draft Report	Limited*

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Fundamental Systems					
Fundamental	Accounts Payable/Purchase Ledger (including feeder systems)	35	STR0001	Field Work Started	
Fundamental	Accounts Receivable/Sales Ledger	30	STR0001	Field Work Started	
Fundamental	Main Accounting Systems (MAS)	30	STR0001	Field Work Started	
Fundamental	Cash And Banking (Non Invoiced Income)	20	STR0001	Cancelled	
Fundamental	Housing Benefits - Key Control walk throughs	10	STR0001	Field Work Started	
Fundamental	Council Tax - Key Control walk throughs	10	STR0001	Complete	
Fundamental	Non Domestic Rates (NDR)	35	STR0001	Field Work Started	
Fundamental	Payroll	35	STR0001	Field Work Started	
Fundamental	SWIFT Financials - Key Control Walk throughs	5	STR0001		
Fundamental	Housing Rents including tenant arrears	25	STR0001	Field Work Started	
Assurance Audits - Corporate					
Medium	Data Quality	15	STR0013	Field Work Started	
Medium	Information Governance	20	STR0013		
Medium	Ethical Governance	20	Governance		
Medium	Corporate Governance Reviews	15	Governance		
Medium	Contracts Management	25	Governance	Field Work Started	
Medium	Partnership Governance	15	Governance/STR0009		
Medium	Risk Management	0	Governance	Scoping	
Assurance Audits - Resources					
Medium	Budgetary Control	15	STR0001		
Medium	Debt Management Arrangements	15	STR0001	Cancelled	
Medium	Capital Programme	15	STR0001	Field Work Complete	
Medium	Learning & Development Centralisation	15	Operational	Field Work Started	

APPENDIX A

Priority	Audit Title	2018/19 Days Risk	Position as at June 30th	Opinion
<b>Assurance Audits - Children's Services</b>				
Medium	Schools General - School Improvement	70: Governance/Operational	Scoping	
Medium	School Build Commissioning	15: Operational	Complete	Adequate
Low	Children's Homes Facilities Mgmt	10: Operational		
Medium	School themed review - Schools Financial Regulations	15: Operational	Field Work Started	
<b>Assurance Audits - Social Care, Health and Housing</b>				
Medium	Homelessness	15: STR0039	Field Work Started	
Medium	Procurement	15: Fraud / Operational	Field Work Complete	
Low	HMO Licensing	10: STR0039		
Medium	Direct Payments	15: STR0037 / Operational		
<b>Assurance Audits - Community Services</b>				
Medium	Section 38 Agreements	10: STR0001 / Operational	Field Work Started	
Medium	Highways - assurance on client assurance arrangements	15: STR0001	Field Work Started	
Medium	School Transport	15: STR0001	Complete	Limited
IT Reviews				
Fundamental	IT Disaster Recovery	15: STR0008	Scoping	
Low	IT Customer Service - Problem Resolution	15: STR0008	Draft Report	Adequate*
Medium	ICT audits (ICT Plan below)	50: STR0008/STR00033		
Medium	PCI (Payment Card Industry) DSS Compliance		Scoping	
Medium	Mosaic (CCMS) Application Security			
Medium	Storage, Hypervisors & Hosts 1819			
<b>Assurance Audits - Regeneration and Business Support</b>				
Medium	S106 Follow-up	10: Operational	Scoping	
Medium	Albion Archaeology	12: Operational		
Low	Broadband Project	10: Project Governance / Operational	Field Work Started	
<b>Assurance Audits - Public Health</b>				
Medium	Public Health compliance with best practice	15: Operational		
<b>Special Investigations</b>				
Medium	National Fraud Initiative (NFI)	20: Fraud	Ongoing throughout year	
Medium	Special Investigations	40: Fraud	Ongoing throughout year	
Low	Highest risks resulting from Annual Fraud Risk Assessment	30: Fraud		
<b>Ad Hoc Consultancy etc.</b>				
Fundamental	Risk Management Activities	35: All Risk	Ongoing throughout year	
Medium	Major projects - Consultancy	80: Governance	Ongoing throughout year	
Medium	Supporting Annual Governance Statement	6: Governance	Ongoing throughout year	
Medium	Audit of Individual Grants	20: STR0001	Ongoing throughout year	
Medium	General Advice	20:	Ongoing throughout year	
Medium	Head of Audit Chargeable Against Plan	60:	Ongoing throughout year	
Medium	Assurance Mapping Review	5:	Ongoing throughout year	
Low	Benchmarking Exercise	5:	Ongoing throughout year	
Medium	General Fraud Awareness	5:	Ongoing throughout year	
Medium	Fraud Investigations Procedure Manual	6:	Ongoing throughout year	
Low	IDEA development	6:	Ongoing throughout year	
Medium	Contingency	65:	Ongoing throughout year	