

Priority	Audit Title	2018/19 Days Risk	Position as at June 30th	Opinion
Audits in Progress at Year End				
Fundamental	Accounts Payable-Purchase Ledger (including feeder systems)	STR0001	Complete	Adequate
Fundamental	Payroll	STR0001	Complete	Adequate
Fundamental	SWIFT Financials - Key Control walk throughs	STR0001	Complete	-
High	Academy of Central Bedfordshire	Consultancy	Complete	-
Low	Democratic Governance	Governance/Operational	Complete	Adequate
Medium	Review of Public Health Contributions to other	Governance/Operational	Complete	Adequate
Medium	Chiltern School	Governance/Operational	Complete	Adequate
High	Cyber Security	STR0008/STR00033	Complete	Limited
Medium	European Regional Development Fund - Procurement 17-18	Operational	Complete	Adequate
Medium	Clipstone Brook Lower	Governance/Operational	Complete	Adequate
Medium	Swallowfield Lower	Governance/Operational	Complete	Limited
Medium	Pulford Lower	Governance/Operational	Complete	Adequate
High	Treasury Management	STR0001	Draft Report Issued	Adequate
Low	Ethics - Declarations of Interests, Gifts and Hospitality - Officers	Governance	Draft Report Issued	Adequate
Medium	Joint Procurement Arrangements	STR009	Draft Report Issued	Adequate
Medium	Beaudesert Lower	Governance/Operational	Draft Report Issued	Adequate
High	SAP Access and Security	STR0008/STR00033	Field Work Complete	
Medium	Houghton Regis Lower	Governance/Operational	Field Work Started	

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Fundamental Systems				
Fundamental	Accounts Payable/Purchase Ledger (including feeder system)	35	STR0001	
Fundamental	Accounts Receivable/Sales Ledger	30	STR0001	Field Work Started
Fundamental	Main Accounting Systems (MAS)	30	STR0001	Scoping
Fundamental	Cash And Banking (Non Invoiced Income)	20	STR0001	Scoping
Fundamental	Housing Benefits - Key Control walk throughs	10	STR0001	Field Work Started
Fundamental	Council Tax - Key Control walk throughs	10	STR0001	Field Work Started
Fundamental	Non Domestic Rates (NDR)	35	STR0001	Field Work Started
Fundamental	Payroll	35	STR0001	Field Work Started
Fundamental	SWIFT Financials - Key Control Walk throughs	5	STR0001	
Fundamental	Housing Rents including tenant arrears	25	STR0001	Field Work Started

Assurance Audits - Corporate				
Medium	Data Quality	15	STR0013	
Medium	Information Governance	20	STR0013	
Medium	Ethical Governance	20	Governance	
Medium	Corporate Governance Reviews	15	Governance	
Medium	Contracts Management	25	Governance	Scoping
Medium	Partnership Governance	15	Governance/STR0009	
Medium	Risk Management	0	Governance	

Assurance Audits - Resources				
Medium	Budgetary Control	15	STR0001	
Medium	Debt Management Arrangements	15	STR0001	
Medium	Capital Programme	15	STR0001	Scoping
Medium	Learning & Development Centralisation	15	Operational	Field Work Started

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Assurance Audits - Children's Services				
Medium	Schools General - School Improvement	70	Governance/Operational	
Medium	School Build Commissioning	15	Operational	Field Work Started
Low	Children's Homes Facilities Mgmt	10	Operational	
Medium	Themed Reviews	15	Operational	
Assurance Audits - Social Care, Health and Housing				
Medium	Homelessness	15	STR0039	
Medium	Procurement	15	Fraud / Operational	Scoping
Low	HMO Licensing	10	STR0039	
Medium	Direct Payments	15	STR0037 / Operational	
Assurance Audits - Community Services				
Medium	Section 38 Agreements	10	STR0001 / Operational	
Medium	Highways - assurance on client assurance arrangements	15	STR0001	
Medium	School Transport	15	STR0001/Operational	Field Work Started
IT Reviews				
Fundamental	IT Disaster Recovery	15	STR0008	
Low	IT Customer Service - Problem Resolution	15	STR0008	Fieldwork Complete
Medium	ICT audits (ICT Plan to be determined by Contractors)	50	STR0008/STR0003	
Assurance Audits - Regeneration and Business Support				
Medium	S106 Follow-up	10	Operational	
Medium	Albion Archeology	12	Operational	
Low	Broadband Project	10	Project Governance / Operational	Scoping
Assurance Audits - Public Health				
Medium	Public Health compliance with best practice	15	Operational	
Special Investigations				
Medium	National Fraud Initiative (NFI)	20	Fraud	Ongoing throughout year
Medium	Special Investigations	40	Fraud	Ongoing throughout year
Low	Highest risks resulting from Annual Fraud Risk Assessment	30	Fraud	
Ad Hoc Consultancy etc.				
Fundamental	Risk Management Activities	35	All Risk	Ongoing throughout year
Medium	Major projects - Consultancy	80	Governance	Ongoing throughout year
Medium	Supporting Annual Governance Statement	6	Governance	Ongoing throughout year
Medium	Audit of Individual Grants	20	STR0001	Ongoing throughout year
Medium	General Advice	20		Ongoing throughout year
Medium	Head of Audit Chargeable Against Plan	60		Ongoing throughout year
Medium	Assurance Mapping Review	5		Ongoing throughout year
Low	Benchmarking Exercise	5		Ongoing throughout year
Medium	General Fraud Awareness	5		Ongoing throughout year
Medium	Fraud Investigations Procedure Manual	6		Ongoing throughout year
Low	IDEA development	6		Ongoing throughout year
Medium	Contingency	65		Ongoing throughout year